



2663

#1 G

#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CHRISTOPHER UHLIK, ET AL.

Application No.: 09/675,748

Filed: September 29, 2000

For: **RADIO COMMUNICATIONS SYSTEM  
WITH A MINIMAL BROADCAST  
CHANNEL**

Art Group: Not Yet Assigned

Examiner: Not Yet Assigned

**RECEIVED**

**MAY 06 2002**

**Technology Center 2600**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated April 11, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

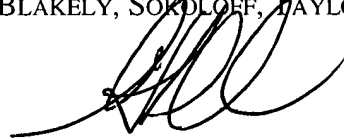
Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date:

4/19/02

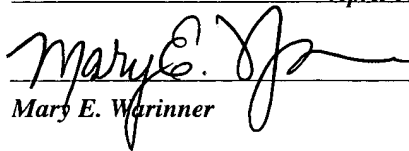


\_\_\_\_\_  
Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
(503) 684-6200


I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

April 19, 2002

  
Mary E. Warinner

04-19-02

Date


|   |  |  |                    |
|---|--|--|--------------------|
|  <h1 style="text-align: center;">FEE TRANSMITTAL</h1> <h2 style="text-align: center;">for FY 2002</h2> <p style="text-align: center;"><i>Patent fees are subject to annual revision.</i></p> |  | <p style="text-align: right;"><i>Complete if Known</i></p> |                    |
|   |  | Application Number   | 09/675,748         |
|   |  | Filing Date  | September 29, 2000 |
|   |  | First Named Inventor                                       | Christopher Uhlik  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.   |  | Examiner Name  | Not Yet Assigned   |
|   |  | Group/Art Unit   | Not Yet            |
|   |  | Attorney Docket No.  | 15685P069          |
| <b>TOTAL AMOUNT OF PAYMENT</b>  |  | <b>( \$ )</b>  |                    |

| METHOD OF PAYMENT (check one)  |          |              |          | FEE CALCULATION (continued)                               |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
|--|----------|--------------|----------|---|----------|--------------|--|-----------------|----------|----------|----------|----------|----------|-----|-----|-----|------------------------|--------------------|----|-----|-----|-----------------------------------|-----|-------------------|-----|-----|---------------------------------------|-----|-----|------------------|----|---|-----|-----|-----|--------------------|---|--------------|-----|-----|----|------------------------|--|--------------|--|--|--|------|--|--|--|--|--|
| <div><input type="checkbox"/> Check</div> <div><input type="checkbox"/> Credit card</div> <div><input type="checkbox"/> Money Order</div> <div><input type="checkbox"/> Other</div> <div><input checked="" type="checkbox"/> None</div>  |          |              |          | 3. ADDITIONAL FEES  |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| <div>Deposit Account Number02-2666</div> <div>Deposit Account NameBlakely, Sokoloff, Taylor &amp; Zafman LLP</div> <div>The Commissioner is authorized to: ( check all that apply)</div> <div><input type="checkbox"/> Charge fee(s) indicated below</div> <div><input checked="" type="checkbox"/> Credit any overpayments</div> <div><input type="checkbox"/> Charge any additional fee(s) during the pendency of the application</div> <div><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account</div>   |          |              |          |   |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| FEE CALCULATION  |          |              |          |   |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 1. BASIC FILING FEE  |          |              |          |   |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| <table><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td><td></td></tr><tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="4">SUBTOTAL (1)</td><td>(\$)</td><td></td></tr></tbody></table>                |          |              |          | Large Entity  |          | Small Entity |  | Fee Description | Fee Paid | Fee Code | Fee (\$) | Fee Code | Fee (\$) | 101 | 740 | 201 | 370                    | Utility filing fee |    | 106 | 330 | 206                               | 165 | Design filing fee |     | 107 | 510                                   | 207 | 255 | Plant filing fee |    | 108   | 740 | 208 | 370 | Reissue filing fee |   | 114          | 160 | 214 | 80 | Provisional filing fee |  | SUBTOTAL (1) |  |  |  | (\$) |  |  |  |  |  |
| Large Entity   |          | Small Entity |          | Fee Description   | Fee Paid |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| Fee Code   | Fee (\$) | Fee Code     | Fee (\$) |   |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 101  | 740      | 201          | 370      | Utility filing fee  |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 106  | 330      | 206          | 165      | Design filing fee   |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 107  | 510      | 207          | 255      | Plant filing fee  |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 108  | 740      | 208          | 370      | Reissue filing fee  |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 114  | 160      | 214          | 80       | Provisional filing fee                                    |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| SUBTOTAL (1)   |          |              |          | (\$)  |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 2. EXTRA CLAIM FEES  |          |              |          |   |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| <div>Total ClaimsIndependent ClaimsMultiple Dependent</div> <div><div><div></div><div></div><div></div></div><div><div>Extra Claims</div><div>Fee from below</div><div>Fee Paid</div></div></div>  |          |              |          |   |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| <table><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr><tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td></tr><tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple Dependent claim, if not paid</td></tr><tr><td>109</td><td>84</td><td>209</td><td>42</td><td>**Reissue independent claims over original patent</td></tr><tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr><tr><td colspan="4">SUBTOTAL (2)</td><td>(\$)</td></tr></tbody></table> |          |              |          | Large Entity  |          | Small Entity |  | Fee Description | Fee Code | Fee (\$) | Fee Code | Fee (\$) | 103      | 18  | 203 | 9   | Claims in excess of 20 | 102                | 84 | 202 | 42  | Independent claims in excess of 3 | 104 | 280               | 204 | 140 | Multiple Dependent claim, if not paid | 109 | 84  | 209              | 42 | **Reissue independent claims over original patent | 110 | 18  | 210 | 9                  | **Reissue claims in excess of 20 and over original patent | SUBTOTAL (2) |     |     |    | (\$)                   |  |              |  |  |  |      |  |  |  |  |  |
| Large Entity   |          | Small Entity |          | Fee Description   |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| Fee Code   | Fee (\$) | Fee Code     | Fee (\$) |   |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 103  | 18       | 203          | 9        | Claims in excess of 20                                    |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 102  | 84       | 202          | 42       | Independent claims in excess of 3                         |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 104  | 280      | 204          | 140      | Multiple Dependent claim, if not paid                     |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 109  | 84       | 209          | 42       | **Reissue independent claims over original patent         |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| 110  | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
| SUBTOTAL (2)   |          |              |          | (\$)  |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
|  |          |              |          | Other fee (specify)                                       |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |
|  |          |              |          | SUBTOTAL (3)  |          |              |  |                 |          |          |          |          |          |     |     |     |                        |                    |    |     |     |                                   |     |                   |     |     |                                       |     |     |                  |    |   |     |     |     |                    |   |              |     |     |    |                        |  |              |  |  |  |      |  |  |  |  |  |

RECEIVED MAY 06 2002 Technology Center 2600

\*\*or number previously paid, if greater, For Reissues, see below

\* Reduced by Basic Filing Fee Paid

| SUBMITTED BY      |   |                                      |        | Complete (if applicable) |                |
|-------------------|---|--------------------------------------|--------|--------------------------|----------------|
| Name (Print/Type) | Gregory D. Caldwell   | Registration No.<br>(Attorney/Agent) | 39,926 | Telephone                | (503) 684-6200 |
| Signature         |  |                                      |        | Date                     |                |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.**

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.**